Receipt date: 02/02/2007

10599916 - GAU: 3781 PTO/SB/08a (08-03) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE	Application Number		10599916	
	Filing Date		2006-10-13	
	First Named Inventor Stephe		hen P. Smith	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit			
(Not for Submission under 57 Of K 1.55)	Examiner Name			
	Attorney Docket Number	er	WITHERS.4647-NY	

					U.S.I	PATENTS	Remove			
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue D	ate	Name of Pate of cited Docu	entee or Applicant ment	Releva	Columns,Lines where int Passages or Relev s Appear	
	1	3022914		1962-02	-27	Marsh et al.				
	2	5433353		1995-07	-18	Flinn				
	3	3214053		1965-10	-26	Roberson et al				
	4	3946888		1976-03	-30	Tonkin				
If you wisl	h to ac	dd additional U.S. Pater	nt citatio	n inform	ation pl	ease click the	Add button.		Add	
			U.S.P.	ATENT	APPLI	CATION PUBL	LICATIONS		Remove	
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publica Date	Publication Name of Patentee or Appl of cited Document			Releva	Columns,Lines where nt Passages or Relev s Appear	
	1									
If you wish to add additional U.S. Published Application citation information please click the Add button. Add										
FOREIGN PATENT DOCUMENTS Remove										
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²			Publication Date	Applicant of cited		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5

Receipt date: 02/02/2007		Applic	ation N	umber		10599916 10599916 - GAU: 3781						
INIEGO				Filing	Filing Date 2006-10-13							
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		First Named Inventor Stephen P. Smith										
		NI DI APPLICA ission under 37 CFR 1		Art Ur	Art Unit							
(1101101.	Subili		1.55)	Exam	Examiner Name							
				Attorn	Attorney Docket Number WITHERS.4647-NY							
				1				-				
	1	2250017	GB				!	Sepehr				×
	2	19601198	DE				,	Willmann, Herbert				×
	3	0461065	EP				ر	Aktiengesellscaft Sigg Aluminium-Und Metallwarenf	g,			X
	4	1566545	DE					Rosskopf, Heinz				
	5	2350096	GB					-erguson				×
	6	0838184	EP				ı	Edward				×
	7	03007763	wo					Playtex				×
	8	2167735	GB				-	Tan				×
	9											
If you wisl	h to a	dd additional Foreign P	atent Do	cument	citation	informatio	n ple	ase click the Add b	utton	Add		1
			NON	-PATE	NT LITE	RATURE	DOC	UMENTS		Remove		
Examiner Initials*	Cite No	Include name of the a (book, magazine, jour publisher, city and/or o	nal, seria	al, symp	osium,	catalog, e						T 5

Receipt date: 02/02/2007 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		Application Number		10599916	599916 - G	AU: 3781		
		Filing Date		2006-10-13				
		First Named Inventor	First Named Inventor Stephen P. Smith					
		Art Unit	Art Unit					
		Examiner Name	xaminer Name					
		Attorney Docket Numb	er	WITHERS.4647-NY				
If you wish	1	ld add	litional non-patent literatu	ure document citation info	mation	n please click the Add I	putton Add	
,				EXAMINER SIGNA		- produce ones, and state s		1
Examiner	Signa	ture	/Sue Weaver/			Date Considered	08/03/200	D8
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								
Standard ST	.3). ³ F ument I	or Japa by the a	inese patent documents, the in appropriate symbols as indicate	USPTO.GOV or MPEP 901.04. ² idication of the year of the reign ad on the document under WIPC	of the Er	mperor must precede the set	rial number of the p	atent document.

Receipt date: 02/02/2007 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10599916	10599916 - GAU: 3781		
	Filing Date		2006-10-13			
	First Named Inventor	Steph	nen P. Smith	ith		
	Art Unit					
	Examiner Name					
	Attorney Docket Number		WITHERS.4647-	-NY		

	CERTIFICATION STATEMENT								
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):								
	from a foreign p	em of information contained in the information disclosure statement was first cited in any communication no patent office in a counterpart foreign application not more than three months prior to the filing of the isclosure statement. See 37 CFR 1.97(e)(1).							
OR	OR								
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).								
	See attached ce	rtification statement.							
	— Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.								
	□ None								
SIGNATURE A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.									
Sigr	nature	Date (YYYY-MM-DD)							
Nan	ne/Print	Registration Number							
	<u> </u>								

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Receipt date: 02/02/2007 10599916 - GAU: 3781

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
 - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.